

TITLE V COMPLIANCE CERTIFICATION

AIR ENFORCEMENT AND COMPLIANCE ASSURANCE BRANCH CASE FILE REQUEST

FROM: L. PENSON

DATE: _____

ENGINEER: _____

PHONE: _____

ATTORNEY: _____

PHONE: 3-5139

CHECK APPROPRIATE BOX

STATE:

☐ MINNESOTA

☐ OHIO

☒ ILLINOIS

☐ INDIANA

☐ MICHIGAN

☐ WISCONSIN

COMPLETE IN FULL

SOURCE NAME: CATERPILLAR

DIVISION OR FACILITY: _____

CITY OR COUNTY: JOLIET

☒ SIP ENFORCEMENT
REVIEW FILE

☐ CONFIDENTIAL BUSINESS
INFORMATION (CBI)

☐ NESHAP

☐ POLLUTANT

FOR CASE FILES CHECK APPROPRIATE BOX

☐ INDEX

☐ 114 LETTER/RESPONSES

☐ INSPECTIONS BY DATE

☐ FOV/NOV

☐ CHECKLIST

☐ 113 CONFERENCE NOTES

☐ CONSENT DECREE/ORDER

☐ REGULATION

☐ MISCELLANEOUS

☐ STACK TEST/SAMPLE/COC FORM

☐ REFERRAL

☐ COMPLAINTS/DEFENDANTS RESPONSES

DISCOVERY SECTION:

a) Interrogatories filed separately by company ☐

c) Document produced by US EPA ☐

b) Deposition of US EPA ☐

d) Documents produced by Defendant(s) ☐

CHECK APPROPRIATE BOX: This is a:

☐ REQUEST FOR FILE

Date File is Needed By: _____

Other: _____

☐ REQUEST TO OPEN A NEW FILE (Be sure to supply information requested above.)

☒ REQUEST TO ADD MATERIAL TO AN EXISTING FILE

SPECIAL FILING INSTRUCTIONS:

Certification for Caterpillar Inc - Joliet, Joliet, IL



U.S. Environmental Protection Agency
Region 5 - Air and Radiation Division

**Enforcement Tracking System
Activity Form**

Enforcement Activity:

Certification

Status:

Review Completed

Company Name:

CATERPILLAR INC - JOLIET

Street Address:

2200 CHANNAHON ROAD

City, State, Zip Code

JOLIET, IL 60436

Technical Contact:

Lucille Penson

EJ?

No

Priority/Sector:

None

Geographic Initiative Area:

Air Program(s):

Title V

Air Programs Listed in
AFS: (MVO) ?

Pollutant(s):

FACIL

for: 1719700136

Citizens Award Requested?

Type of Certification

☒ Title V ☐ FESOP

Certification Year:

2001

Date Permit Issued:

Date Certification Due:

05/01/2002

Date Certification Received:

10/02/2002

CC Action Number

999

Reported Compliance Status:

Continuous Compliance

Deviation(s) Reported?

☐ Yes ☒ No

Date Assigned:

10/04/2002

Date Review Due:

10/19/2002

ER Action Number

Evaluation Completed:

10/04/2002

Compliance Determination:

Violation

999

Next Step:

PROCEDURAL VIOLATION

2001 Title V Annual Compliance Certification was submitted late.

Monitor IEPA's handling of violation.

10/04/2002



ILLINOIS ENVIRONMENTAL PROTECTION AGENCY
DIVISION OF AIR POLLUTION CONTROL
COMPLIANCE AND SYSTEMS MANAGEMENT SECTION
1021 NORTH GRAND AVENUE EAST, P.O. BOX 19276
SPRINGFIELD, ILLINOIS 62794-9276

RECEIVED

OCT 02/2002

AIR ENFORCEMENT BRANCH,
U.S. EPA, REGION 5

CAAPP ANNUAL COMPLIANCE CERTIFICATION	FOR AGENCY USE ONLY
	ID NUMBER:
	PERMIT #:
	DATE:

THE CLEAN AIR ACT PERMIT PROGRAM (CAAPP) REQUIRES THAT EACH CAAPP PERMIT HOLDER SUBMIT AN ANNUAL COMPLIANCE CERTIFICATION FOR ALL EMISSION UNITS AT THE SOURCE AS REQUIRED BY 40 CFR 70.6 (c) (5), 39.5 (7) (p) (v) OF THE ENVIRONMENTAL PROTECTION ACT AND CAAPP PERMIT CONDITION 9.8. THE COMPLIANCE CERTIFICATION REPORTING PERIOD IS JANUARY 1 TO DECEMBER 31 AND IS DUE ON OR BEFORE MAY 1 FOR THE PRECEDING CALENDAR YEAR. THIS CERTIFICATION FORM CAN BE USED BY FACILITIES TO SATISFY THIS REQUIREMENT.

SOURCE INFORMATION			
1) SOURCE NAME: Caterpillar Inc.			
2) SOURCE ADDRESS: 2200 Channahon Rd.			
3) CITY: Joliet		4) COUNTY: Will	
5) TOWNSHIP: Joliet	6) STATE: IL	7) ZIP CODE: 60436	
8) DATE FORM PREPARED: Sept. 30, 2002		9) SOURCE ID NO.: 197809AAC	
10) CAAPP PERMIT NO.: 95120095			
11) CALENDAR YEAR OR REPORTING PERIOD COVERED BY THIS REPORT: 2001			

SOURCE COMPLIANCE INFORMATION
12) CHECK EITHER (a) OR (b) BELOW: (a) <input checked="" type="checkbox"/> During the entire reporting period, this source was in continuous compliance with ALL terms and conditions contained in its CAAPP permit. The method used to determine compliance for each term and condition is the method specified in the permit. NOTE: If (a) is selected, completion of Table 1 is optional. Table 2 does not need to be completed. (b) <input type="checkbox"/> With the exception of the items identified in Table 1 and Table 2, this source was in continuous compliance with all terms and conditions contained in the permit. The method used to determine compliance for each term and condition is the method specified in the permit, unless otherwise indicated. NOTE: If (b) is selected, complete Tables 1 and 2 for those units and/or activities that are in intermittent or noncompliance status.

ATTACHMENTS

13) Are you submitting any attachments with this report? Yes ☐ No ☒

If yes, please list the attachments below:

COMPLIANCE CERTIFICATION REPORT MAILING

14) In addition to submitting the Compliance Certification report to the Compliance and Systems Management Section (CASM), a copy of the Compliance Certification report must also be submitted to the USEPA Region 5 and the appropriate IEPA regional field office. Addresses are listed in condition 8.6 of your CAAPP permit.

Please check the appropriate boxes.

A copy of the Compliance Certification report has been submitted to USEPA.

Yes ☒ No ☐

A copy of the Compliance Certification report has been submitted to the appropriate IEPA regional field office.

Yes ☒ No ☐

SOURCE CONTACT PERSON

15) NAME OF TECHNICAL CONTACT PERSON FOR THIS REPORT: Kevin M. Bennett

16) TECHNICAL CONTACT PERSON TITLE:

Environmental Engineer

17) CONTACT PERSON'S TELEPHONE NUMBER:

(815) 729-6453

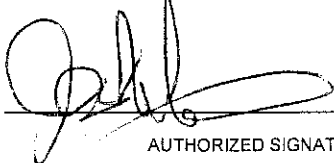
COMPLIANCE STATEMENT AND SIGNATURE BLOCK

NOTE: A RESPONSIBLE OFFICIAL MUST SIGN THIS COMPLIANCE CERTIFICATION. UNSIGNED COMPLIANCE CERTIFICATIONS WILL BE RETURNED AS INCOMPLETE.

18) I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

AUTHORIZED SIGNATURE:

BY:



AUTHORIZED SIGNATURE

Jim D. Waters

TYPED OR PRINTED NAME OF SIGNATORY

Business Unit Manager

TITLE OF SIGNATORY

10 / 1 / 2007

DATE

TITLE V COMPLIANCE CERTIFICATION

AIR ENFORCEMENT AND COMPLIANCE ASSURANCE BRANCH CASE FILE REQUEST

FROM: **L. T. Penson**

DATE: _____

ENGINEER: _____

PHONE: _____

ATTORNEY: _____

PHONE: **3-5139**

CHECK APPROPRIATE BOX

STATE:

☐ MINNESOTA

☐ OHIO

☒ ILLINOIS

☐ INDIANA

☐ MICHIGAN

☐ WISCONSIN

COMPLETE IN FULL

SOURCE NAME: **CATERPILLAR**

INC.

DIVISION OR FACILITY: _____

CITY OR COUNTY: **JOLIET**

☒ SIP ENFORCEMENT
REVIEW FILE

☐ CONFIDENTIAL BUSINESS
INFORMATION (CBI)

☐ NESHAP

☐ POLLUTANT

FOR CASE FILES CHECK APPROPRIATE BOX

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DISCOVERY SECTION:

a) Interrogatories filed separately by company ☐

c) Document produced by US EPA ☐

b) Deposition of US EPA ☐

d) Documents produced by Defendant(s) ☐

CHECK APPROPRIATE BOX: This is a:

☐ REQUEST FOR FILE

Date File is Needed By: _____

Other: _____

☐ REQUEST TO OPEN A NEW FILE (Be sure to supply information requested above.)

☒ REQUEST TO ADD MATERIAL TO AN EXISTING FILE

SPECIAL FILING INSTRUCTIONS:



U.S. Environmental Protection Agency
Region 5 - Air and Radiation Division

Certification File Form



Enforcement Activity:	Certification	
Status:	Review Completed	
Company Name:	CATERPILLAR INC - JOLIET	
Street Address:	2200 CHANNAHON ROAD	
City, State, Zip Code	JOLIET, IL 60436	
Engineer:	Lucille Penson	
EJ?	No	
Priority/Sector:	None	
Geographic Initiative Area:		
Air Program(s):	Title V	Air Programs Listed in AFS: (MVO) ?
Pollutant(s):	FACIL	for: 1719700136
Citizens Award Requested?		
Type of Certification:	Title V	
Certification Year:	2002	
Date Received:	04/28/2003	
Date Assigned:	04/30/2003	
Date Review Due:	05/15/2003	
Date Review Completed:	05/13/2003	
Compliance Determination:	Compliance	
Next Step:	NFA	





ILLINOIS ENVIRONMENTAL PROTECTION AGENCY
DIVISION OF AIR POLLUTION CONTROL
COMPLIANCE AND SYSTEMS MANAGEMENT SECTION
1021 NORTH GRAND AVENUE EAST, P.O. BOX 19276
SPRINGFIELD, ILLINOIS 62794-9276

RECEIVED

APR 28 2003

AIR ENFORCEMENT BRANCH
U.S. EPA, REGION 5

CAAPP ANNUAL COMPLIANCE CERTIFICATION	FOR AGENCY USE ONLY
	ID NUMBER:
	PERMIT #:
	DATE:

THE CLEAN AIR ACT PERMIT PROGRAM (CAAPP) REQUIRES THAT EACH CAAPP PERMIT HOLDER SUBMIT AN ANNUAL COMPLIANCE CERTIFICATION FOR ALL EMISSION UNITS AT THE SOURCE AS REQUIRED BY 40 CFR 70.6 (c) (5), 39.5 (7) (p) (v) OF THE ENVIRONMENTAL PROTECTION ACT AND CAAPP PERMIT CONDITION 9.8. THE COMPLIANCE CERTIFICATION REPORTING PERIOD IS JANUARY 1 TO DECEMBER 31 AND IS DUE ON OR BEFORE MAY 1 FOR THE PRECEDING CALENDAR YEAR. THIS CERTIFICATION FORM CAN BE USED BY FACILITIES TO SATISFY THIS REQUIREMENT.

SOURCE INFORMATION			
1) SOURCE NAME: <u>Caterpillar Inc.</u>			
2) SOURCE ADDRESS: <u>2200 Channahon Rd.</u>			
3) CITY: <u>Joliet</u>		4) COUNTY: <u>Will</u>	
5) TOWNSHIP: <u>Joliet</u>	6) STATE: <u>IL</u>	7) ZIP CODE: <u>60436</u>	
8) DATE FORM PREPARED: <u>April 11, 2003</u>		9) SOURCE ID NO: <u>197809AAC</u>	
10) CAAPP PERMIT NO.: <u>95120095</u>			
11) CALENDAR YEAR OR REPORTING PERIOD COVERED BY THIS REPORT: <u>2002</u>			

SOURCE COMPLIANCE INFORMATION
12) CHECK EITHER (a) OR (b) BELOW: (a) <input checked="" type="checkbox"/> During the entire reporting period, this source was in continuous compliance with ALL terms and conditions contained in its CAAPP permit. The method used to determine compliance for each term and condition is the method specified in the permit. (b) <input type="checkbox"/> With the exception of the items identified in Table 1 and Table 2, this source was in continuous compliance with all terms and conditions contained in the permit. The method used to determine compliance for each term and condition is the method specified in the permit, unless otherwise indicated. NOTE: Table 1 must be completed for all units and activities regardless of compliance status. Table 2 must be completed for all sources of intermittent or continuous noncompliance with any permit condition.

ATTACHMENTS13) Are you submitting any attachments with this report? Yes ☐ No ☒

If yes, please list the attachments below:

COMPLIANCE CERTIFICATION REPORT MAILING14) In addition to submitting the Compliance Certification report to the Compliance and Systems Management Section (CASM), a copy of the Compliance Certification report must also be submitted to the USEPA Region 5 and the appropriate IEPA regional field office. Addresses are listed in condition 8.6 of your CAAPP permit.

Please check the appropriate boxes.

A copy of the Compliance Certification report has been submitted to USEPA.

Yes ☒ No ☐

A copy of the Compliance Certification report has been submitted to the appropriate IEPA regional field office.

Yes ☒ No ☐**SOURCE CONTACT PERSON**

15) NAME OF TECHNICAL CONTACT PERSON FOR THIS REPORT:

Kevin M. Bennett

16) TECHNICAL CONTACT PERSON TITLE:

Environmental Engineer

17) CONTACT PERSON'S TELEPHONE NUMBER:

COMPLIANCE STATEMENT AND SIGNATURE BLOCK

NOTE: A RESPONSIBLE OFFICIAL MUST SIGN THIS COMPLIANCE CERTIFICATION. UNSIGNED COMPLIANCE CERTIFICATIONS WILL BE RETURNED AS INCOMPLETE.

18) I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

AUTHORIZED SIGNATURE:

BY:



AUTHORIZED SIGNATURE

Jim D. Waters

TYPED OR PRINTED NAME OF SIGNATORY

Business Unit Manager

TITLE OF SIGNATORY

04 / 23 / 2003

DATE

Table 1. Listing of Compliance Status for Applicable Permit Terms and Conditions

Source Name		Source I. D. Number	
Caterpillar Inc. - H & H5 Business Unit		197809AAC	
(1) Permit Condition Reference Number	(2) Description of Permit Condition	(3) Compliance Status Continuous Intermittent Noncompliance	(4) Compliance Determination Method
3.2.2	Addition of Insignificant	C	All new processes are reviewed by EHS.
5.2.2a	No visible emission beyond property line	C	Daily visual inspection.
5.2.2b	No emission exceeding opacity of 30%	C	Daily visual and inspection.
5.2.3	Operate under Permit.	C	Environmental Program written & Implemented
5.2.5	Certified repair	C	All work is done by certified outside contractor
5.5.1	Permitted Emission Limits	C	Monthly & Daily record keeping.
5.6	Record keeping	C	AAERs, Source records, Paint Usage
6.0	ERMS	C	Annual Inspections & Audits by EPA.
7.1 & 7.2	Cab paint system	C	System has been removed.
7.3	Building "B" paint	C	MS6501 is removed, MS6503 Paint log.
7.4	Chrome Plate Systems	C	Quarterly Inspections, Pressure Drops, log of Anyth
7.5	Heat Treat Furnaces	C	Gas usage has been determined by max.
7.6	Vapor Solvent Recovery	C	Not in use
7.7	Boilers #1-4	C	Daily log of gas usage

Table 1. Listing of Compliance Status for Applicable Permit Terms and Conditions

[illegible]

TITLE V COMPLIANCE CERTIFICATION

AIR ENFORCEMENT AND COMPLIANCE ASSURANCE BRANCH CASE FILE REQUEST

FROM: LUCILLE T. PENSON

DATE: _____

ENGINEER: _____

PHONE: _____

ATTORNEY: _____

PHONE: 3-5139

CHECK APPROPRIATE BOX

STATE:

☐ MINNESOTA

☐ OHIO

☒ ILLINOIS

☐ INDIANA

☐ MICHIGAN

☐ WISCONSIN

COMPLETE IN FULL

SOURCE NAME:

CATERPILLAR Inc

DIVISION OR FACILITY:

CITY OR COUNTY:

JOLIET

☒ SIP ENFORCEMENT
REVIEW FILE

☐ CONFIDENTIAL BUSINESS
INFORMATION (CBI)

☐ NESHAP

☐ POLLUTANT

FOR CASE FILES CHECK APPROPRIATE BOX

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DISCOVERY SECTION:

a) Interrogatories filed separately by company ☐

c) Document produced by US EPA ☐

b) Deposition of US EPA ☐

d) Documents produced by Defendant(s) ☐

CHECK APPROPRIATE BOX: This is a:

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Date File is Needed By: _____

Other: _____

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☒ REQUEST TO ADD MATERIAL TO AN EXISTING FILE

SPECIAL FILING INSTRUCTIONS:



U.S. Environmental Protection Agency
Region 5 - Air and Radiation Division

Certification File Form



Enforcement Activity:

Certification

Status:

Review Completed

Company Name:

CATERPILLAR INC - JOLIET

Street Address:

2200 CHANNAHON ROAD

City, State, Zip Code

JOLIET, IL 60436

Engineer:

Lucille Penson

EJ?

No

Priority/Sector:

None

Geographic Initiative Area:

Air Program(s):

Title V

Air Programs Listed in
AFS: (MV0) ?

Pollutant(s):

FACIL

for: 1719700136

Citizens Award Requested?

Type of Certification:

Title V

Certification Year:

2000

Date Received:

02/22/2001

Date Assigned:

02/26/2001

Date Review Due:

03/13/2001

Date Review Completed:

02/26/2001

Compliance Determination:

Compliance

Next Step:

No further action.





ILLINOIS ENVIRONMENTAL PROTECTION AGENCY
DIVISION OF AIR POLLUTION CONTROL
COMPLIANCE AND SYSTEMS MANAGEMENT SECTION
1021 NORTH GRAND AVENUE EAST, P.O. BOX 19276
SPRINGFIELD, ILLINOIS 62794-9276

CAAPP ANNUAL COMPLIANCE CERTIFICATION	FOR AGENCY USE ONLY
	ID NUMBER:
	PERMIT #:
	DATE:

THE CLEAN AIR ACT PERMIT PROGRAM (CAAPP) REQUIRES THAT EACH CAAPP PERMIT HOLDER SUBMIT AN ANNUAL COMPLIANCE CERTIFICATION FOR ALL EMISSION UNITS AT THE SOURCE AS REQUIRED BY 40 CFR 70.6 (c) (5), 39.5 (7) (p) (v) OF THE ENVIRONMENTAL PROTECTION ACT AND CAAPP PERMIT CONDITION 9.8. THE COMPLIANCE CERTIFICATION REPORTING PERIOD IS JANUARY 1 TO DECEMBER 31 AND IS DUE ON OR BEFORE MAY 1 FOR THE PRECEDING CALENDAR YEAR. THIS CERTIFICATION FORM CAN BE USED BY FACILITIES TO SATISFY THIS REQUIREMENT.

SOURCE INFORMATION			
1) SOURCE NAME: Caterpillar Inc.			
2) SOURCE ADDRESS: 2200 Channahon Rd.			
3) CITY: Joliet		4) COUNTY: Will	
5) TOWNSHIP: Joliet	6) STATE: IL	7) ZIP CODE: 60436	
8) DATE FORM PREPARED: Feb. 15, 2001		9) SOURCE ID NO.: 197809AAC	
10) CAAPP PERMIT NO.: 95120095			
11) CALENDAR YEAR OR REPORTING PERIOD COVERED BY THIS REPORT: 2000			

SOURCE COMPLIANCE INFORMATION
12) CHECK EITHER (a) OR (b) BELOW: (a) <input checked="" type="checkbox"/> During the entire reporting period, this source was in continuous compliance with ALL terms and conditions contained in its CAAPP permit. The method used to determine compliance for each term and condition is the method specified in the permit. NOTE: If (a) is selected, completion of Table 1 is optional. Table 2 does not need to be completed. (b) <input type="checkbox"/> With the exception of the items identified in Table 1 and Table 2, this source was in continuous compliance with all terms and conditions contained in the permit. The method used to determine compliance for each term and condition is the method specified in the permit, unless otherwise indicated. NOTE: If (b) is selected, complete Tables 1 and 2 for those units and/or activities that are in intermittent or noncompliance status.

RECEIVED
FEB 22 2001 *ETS/vb*

ATTACHMENTS

13) Are you submitting any attachments with this report? Yes ☐ No ☒

If yes, please list the attachments below:

COMPLIANCE CERTIFICATION REPORT MAILING

14) In addition to submitting the Compliance Certification report to the Compliance and Systems Management Section (CASM), a copy of the Compliance Certification report must also be submitted to the USEPA Region 5 and the appropriate IEPA regional field office. Addresses are listed in condition 8.6 of your CAAPP permit.

Please check the appropriate boxes.

A copy of the Compliance Certification report has been submitted to USEPA.

Yes ☒ No ☐

A copy of the Compliance Certification report has been submitted to the appropriate IEPA regional field office.

Yes ☒ No ☐

SOURCE CONTACT PERSON

15) NAME OF TECHNICAL CONTACT PERSON FOR THIS REPORT:

Kevin M. Bennett

16) TECHNICAL CONTACT PERSON TITLE:

Environmental Engineer

17) CONTACT PERSON'S TELEPHONE NUMBER:

(815) 729-6453

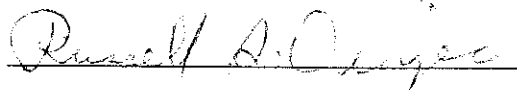
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AUTHORIZED SIGNATURE:

BY:



AUTHORIZED SIGNATURE

G.A. Stampanato

TYPED OR PRINTED NAME OF SIGNATORY

Facility Manager

TITLE OF SIGNATORY

2 1 16 1 01

DATE

TITLE V COMPLIANCE CERTIFICATION

AIR ENFORCEMENT AND COMPLIANCE ASSURANCE BRANCH CASE FILE REQUEST

FROM: LUCILLE T. PENSON

DATE: _____

ENGINEER: _____

PHONE: _____

ATTORNEY: _____

PHONE: 3-5139

CHECK APPROPRIATE BOX

STATE:

☐ MINNESOTA

☐ OHIO

☒ ILLINOIS

☐ INDIANA

☐ MICHIGAN

☐ WISCONSIN

COMPLETE IN FULL

SOURCE NAME: CATERPILLAR Inc

DIVISION OR FACILITY: _____

CITY OR COUNTY: OLIET

☒ SIP ENFORCEMENT
REVIEW FILE

☐ CONFIDENTIAL BUSINESS
INFORMATION (CBI)

☐ NESHAP

☐ POLLUTANT

FOR CASE FILES CHECK APPROPRIATE BOX

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b) Deposition of US EPA ☐

c) Document produced by US EPA ☐

d) Documents produced by Defendant(s) ☐

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☐ REQUEST FOR FILE

Date File is Needed By: _____

Other: _____

☐ REQUEST TO OPEN A NEW FILE (Be sure to supply information requested above.)

☒ REQUEST TO ADD MATERIAL TO AN EXISTING FILE

SPECIAL FILING INSTRUCTIONS:



U.S. Environmental Protection Agency
Region 5 - Air and Radiation Division

Certification File Form



Enforcement Activity:	Certification	
Status:	Review Completed	
Company Name:	CATERPILLAR INC - JOLIET	
Street Address:	2200 CHANNAHON ROAD	
City, State, Zip Code	JOLIET, IL 60436	
Engineer:	Lucille Penson	
EJ?	No	
Priority/Sector:	None	
Geographic Initiative Area:		
Air Program(s):	Title V	Air Programs Listed in AFS: (MV0) ?
Pollutant(s):	FACIL	for: 1719700136
Citizens Award Requested?		
Type of Certification:	Title V	
Certification Year:	1999	
Date Received:	08/03/2000	
Date Assigned:	08/03/2000	
Date Review Due:	08/18/2000	
Date Review Completed:	08/07/2000	
Compliance Determination:	Compliance	
Next Step:	No further action. Form 600-CAAPP.	





RECEIVED

AUG 03 2000

ILLINOIS ENVIRONMENTAL PROTECTION AGENCY
DIVISION OF AIR POLLUTION CONTROL
COMPLIANCE AND SYSTEMS MANAGEMENT SECTION
1021 NORTH GRAND AVENUE EAST, P.O. BOX 19276
SPRINGFIELD, ILLINOIS 62794-9276

RECEIVED

AUG 03 2000

AIR PROGRAMS
BRANCH

AIR ENFORCEMENT BRANCH

U.S. EPA, REG. 5

**CAAPP ANNUAL
COMPLIANCE CERTIFICATION****FOR AGENCY USE ONLY**

ID NUMBER:

PERMIT #:

DATE:

THE CLEAN AIR ACT PERMIT PROGRAM (CAAPP) REQUIRES THAT EACH CAAPP PERMIT HOLDER SUBMIT AN ANNUAL COMPLIANCE CERTIFICATION FOR ALL EMISSION UNITS AT THE SOURCE AS REQUIRED BY 40 CFR 70.6 (c) (5), 39.5 (7) (p) (v) OF THE ENVIRONMENTAL PROTECTION ACT AND CAAPP PERMIT CONDITION 9.8. THE COMPLIANCE CERTIFICATION REPORTING PERIOD IS JANUARY 1 TO DECEMBER 31 AND IS DUE ON OR BEFORE MAY 1 FOR THE PRECEDING CALENDAR YEAR. THIS CERTIFICATION FORM CAN BE USED BY FACILITIES TO SATISFY THIS REQUIREMENT.

SOURCE INFORMATION

1) SOURCE NAME:

Caterpillar Inc.

2) SOURCE ADDRESS:

2200 Channahon Rd.

3) CITY:

Joliet

4) COUNTY:

Will

5) TOWNSHIP:

Joliet

6) STATE:

IL

7) ZIP CODE:

60436

8) DATE FORM PREPARED:

July 25, 2000

9) SOURCE ID NO.:

197809AAC

10) CAAPP PERMIT NO.:

95120095

11) CALENDAR YEAR OR REPORTING PERIOD COVERED BY THIS REPORT:

1999

SOURCE COMPLIANCE INFORMATION

12) CHECK EITHER (a) OR (b) BELOW:

(a) ☒ During the entire reporting period, this source was in **continuous** compliance with ALL terms and conditions contained in its CAAPP permit. The method used to determine compliance for each term and condition is the method specified in the permit.

NOTE: If (a) is selected, completion of Table 1 is optional. Table 2 does not need to be completed.

(b) ☐ With the exception of the items identified in Table 1 and Table 2, this source was in **continuous** compliance with all terms and conditions contained in the permit. The method used to determine compliance for each term and condition is the method specified in the permit, unless otherwise indicated.

NOTE: If (b) is selected, complete Tables 1 and 2 for those units and/or activities that are in intermittent or noncompliance status.

ATTACHMENTS13) Are you submitting any attachments with this report? Yes ☐ No ☒

If yes, please list the attachments below:

COMPLIANCE CERTIFICATION REPORT MAILING

14) In addition to submitting the Compliance Certification report to the Compliance and Systems Management Section (CASM), a copy of the Compliance Certification report must also be submitted to the USEPA Region 5 and the appropriate IEPA regional field office. Addresses are listed in condition 8.6 of your CAAPP permit.

Please check the appropriate boxes.

A copy of the Compliance Certification report has been submitted to USEPA.

Yes ☒ No ☐

A copy of the Compliance Certification report has been submitted to the appropriate IEPA regional field office.

Yes ☒ No ☐**SOURCE CONTACT PERSON**

15) NAME OF TECHNICAL CONTACT PERSON FOR THIS REPORT:

Bruce H. Gilruth

16) TECHNICAL CONTACT PERSON TITLE:

Plant Metallurgical Services Manager

17) CONTACT PERSON'S TELEPHONE NUMBER:

(815) 729-6730

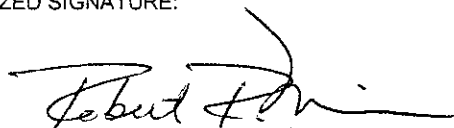
COMPLIANCE STATEMENT AND SIGNATURE BLOCK

NOTE: A RESPONSIBLE OFFICIAL MUST SIGN THIS COMPLIANCE CERTIFICATION. UNSIGNED COMPLIANCE CERTIFICATIONS WILL BE RETURNED AS INCOMPLETE.

18) I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.

AUTHORIZED SIGNATURE:

BY:



AUTHORIZED SIGNATURE

Robert R. Macier

TYPED OR PRINTED NAME OF SIGNATORY

Vice President

TITLE OF SIGNATORY

July 25, 2000

DATE